

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of
SWAINE et al

Serial No. 09/773,387

Filed: February 1, 2001

For: TRACING OUT-OF-ORDER DATA



Atty Ref.: 550-205

Group: 2121

Examiner:

* * * * *

May 2, 2001

Assistant Commissioner for Patents
Washington, DC 20231

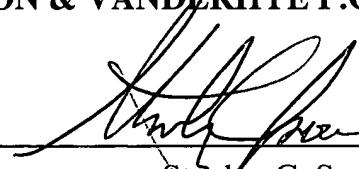
SUBMISSION OF FORMAL DRAWINGS

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed March 8, 2001, enclosed herewith are seven (7) sheets of formal, inked drawings for the above-identified application.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: 

Stanley C. Spooner

Reg. No. 27,393

SCS:kmm
1100 North Glebe Road, 8th Floor
Arlington, VA 22201-4714
Telephone: (703) 816-4000
Facsimile: (703) 816-4100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Patent Application of:

SWAINE et al

Serial No. 09/773,387

Filed: February 1, 2001

For: TRACING OUT-OF-ORDER DATA



Attention: Application Branch

Atty. Dkt. 550-205

Date: May 2, 2001

H-A

Sector

#3

Assistant Commissioner for Patents
Washington, D.C. 20231The attached completes filing of the above-identified patent application:

Signed Rule 63 Declaration alone OR
 Signed Declaration plus attached copy of originally filed specification/drawings.
 NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED form.
 Record and return the attached assignment.
 Priority is hereby claimed per Rule 55 & 35 USC119 based on prior foreign application(s) Nos.:

Application Nos.	Country	Filing Date
-------------------------	----------------	--------------------

respectively.

 This application is based on the following prior provisional application(s):

Application No.	Filing Date
------------------------	--------------------

respectively and priority is hereby claimed therefrom.

Certified copy(ies) of foreign and/or provisional application(s): attached; already filed on _____.
in U.S. Application Serial No. _____, filed on _____.

The undersigned verifies that the above-identified application is identical to: filed _____, as amended on _____.

This application is entitled to "Small entity" status. "Small entity" statement attached.

Also attached: Submission of Formal Drawings

Fees are attached as calculated below:

Basic filing fee		\$ 710.00
Total Effective claims 13 - 20 = 0 x \$ 18.00		\$ 0.00
Independent claims 2 - 3 = 0 x \$ 80.00		\$ 0.00
If any proper multiple dependent claims now added for first time, add \$270.00 (ignore improper)		\$ 0.00
	FILING FEE	\$ 710.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months; \$1390.00/4 months)		\$ 0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted		\$ 130.00
	FIRST SUBTOTAL	\$ 840.00
If "small entity," enter half (½) of subtotal and subtract		\$ 0.00
	SECOND SUBTOTAL	\$ 840.00
Assignment Recording Fee (\$40.00)		\$ 40.00
	TOTAL FEE ENCLOSED	\$ 880.00

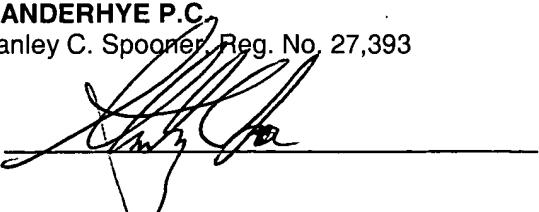
Any future submission requiring an extension of time is hereby stated to include a petition for such time extension.

The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8th Floor
 Arlington, Virginia 22201-4714
 Telephone: (703) 816-4000
 Facsimile: (703) 816-4100
 SCS:kmm

NIXON & VANDERHYE P.C.

By Atty: Stanley C. Spooner, Reg. No. 27,393

Signature: 



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/773,387	02/01/2001	Andrew B Swaine	550-205

CONFIRMATION NO. 3984

FORMALITIES LETTER



OC000000005840883

NIXON & VANDERHYE P.C.
 8th Floor
 1100 North Glebe Road
 Arlington, VA 22201

Date Mailed: 03/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

05/03/2001 HN00R1 00000012 09773387

01 FC:101
02 FC:105710.00 OP
130.00 OP

A copy of this notice MUST be returned with the reply.

54468495
Customer Service Center

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO

PART 2 - COPY TO BE RETURNED WITH RESPONSE